

Company Reg: No PB4976

A licensed company by Securities & Exchange Commission of Sri Lanka to operate in the Unit Trusts and Wealth Management under the Investment Manager license.

Premier Funds - Know Your Customer Form (Individual)

This information is sought under the **Prevention of Money Laundering Act** No.6 of 2005, **Financial Transaction Reporting Act** No.6 of 2006 and the Rules & Regulations pertaining to the Securities Industry issued by the **Financial Intelligence Unit** of the Central Bank of Sri Lanka.

1 YOUR FULL NAME (Introduction) 2 YOU ARE THE Primary Applicant Secondary Applicant Second		
Children Educational Needs Short term liquidity needs Periodic Savings/Investments Healthcare Needs Periodic Savings/Investments Marriage Planning Needs Inward Remittances for the family Retirement Benefits Speculation/Dividends/Returns		
Healthcare Needs	2 YOU ARE THE	Primary Applicant Secondary Applicant
Healthcare Needs	2 DIIDDOCE	Children Educational Needs Short term liquidity peeds
Marriage Planning Needs Inward Remittances for the family		
Retirement Benefits Others Please Specify Business Income or Profits Self employment Income or Profits Salary or other Remunerations Professional Fees/Income/Profits Sale of Assets Donations from charities - Local or Foreign Export Income/Profits Family Remittances - Local or Foreign Others Please Specify If it is a Donation from charities, Please state the Charity Name/s If it is a Foreign Remittance, Please state the Country Name/s Scillzesship If you are a foreigner, Please fill below Nationality Country of Origin Residential Ownership Owner Friends/Relatives Lease/Rental Parents' Official Boarding/Lodging Others Please Specify 7 INVESTMENT EXPOSURE Retirement Benefits Special Self with Self employment Income or Profits Self employment Income or Profits Family Remittance - Local or Foreign Family Remittance - Local or Foreign Friends/Remittance - Local or Foreign Friends/Relatives Lease/Rental Parents' Official Boarding/Lodging Others Please Specify 7 INVESTMENT EXPOSURE Retirement Benefits Self employment Income or Profits Family Remittance - Local or Foreign Friends/Remittance - Local or Foreign Friends/Relatives Lease/Rental Parents' Official Boarding/Lodging Others Please Specify 7 INVESTMENT EXPOSURE Retirement Benefits Official Boarding/Lodging Others Please Specify Are you individuals who are or have been entrusted domestically by a prominent public function? Foreigne, Please Specify The Company Remittance - Local or Foreign Friends/Relatives Lease/Rental Parents' Are you individuals who are or have been entrusted domestically by a prominent public function? Foreigne Remittance, Please Specify The Company Remittance - Local or Foreign Friends/Relatives Lease/Rental Parents' Friends/Relatives Friends/R	(wny you invest nere)	
Others Please Specify		
Business Income or Profits Self employment Income or Profits		
Salary or other Remunerations Professional Fees/Income/Profits		Others Please Specify
Sale of Assets Donations from charities - Local or Foreign Export Income/Profits Family Remittances - Local or Foreign Others Please Specify If it is a Donation from charities, Please state the Charity Name/s If it is a Foreign Remittance, Please state the Country Name/s Scittzenship If you are a foreigner, Please fill below Nationality Country of Origin Friends/Relatives Lease/Rental Parents' Official Boarding/Lodging Others Please Specify INVESTMENT EXPOSURE YES NO If YES, please state the length of the experience & exposure Less than 1 year 1 to 5 years More than 5 years Are you Individuals who are or have been entrusted domestically by a prominent public function? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.	4 SOURCE OR ORIGIN	Business Income or Profits Self employment Income or Profits
Export Income/Profits Family Remittances - Local or Foreign	(How you earn money)	Salary or other Remunerations Professional Fees/Income/Profits
Others Please Specify		Sale of Assets Donations from charities - Local or Foreign
If it is a Donation from charities, Please state the Charity Name/s If it is a Foreign Remittance, Please state the Country Name/s CITIZENSHIP If you are a foreigner, Please fill below Nationality Country of Origin RESIDENTIAL OWNERSHIP Owner Friends/Relatives Lease/Rental Parents' Official Boarding/Lodging Others Please Specify TINVESTMENT EXPOSURE YES NO If YES, please state the length of the experience & exposure Less than 1 year 1 to 5 years More than 5 years Are you individuals who are or have been entrusted domestically by a prominent public function? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials.		Export Income/Profits Family Remittances - Local or Foreign
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3 3 3 3 3 3 3 3 3 3	If it is a Donation from charities,	1
Please state the Country Name/s 5 CITIZENSHIP If you are a foreigner, Please fill below Nationality Country of Origin 6 RESIDENTIAL OWNERSHIP Owner Lease/Rental Parents' Official Boarding/Lodging Others Please Specify 7 INVESTMENT EXPOSURE PYES NO If YES, please state the length of the experience & exposure Less than 1 year 1 to 5 years More than 5 years Are you individuals who are or have been entrusted domestically by a prominent public function? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.	Please state the Charity Name/s	
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Are you individuals who are or have been entrusted domestically by a prominent public function? Friends/Relatives Country of Origin	5 CITIZENSHIP	Sri Lankan Sri Lankan with a Dual Citizenship Foreigner
6 RESIDENTIAL OWNERSHIP Owner Lease/Rental Parents' Official Boarding/Lodging Others Please Specify 7 INVESTMENT EXPOSURE YES NO If YES, please state the length of the experience & exposure Less than 1 year 1 to 5 years More than 5 years Are you individuals who are or have been entrusted domestically by a prominent public function? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.		
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Lease/Rental	* *	
Official Boarding/Lodging Others Please Specify 7 INVESTMENT EXPOSURE YES NO If YES, please state the length of the experience & exposure Less than 1 year 1 to 5 years More than 5 years 8 POLITICALLY EXPOSED PERSONS Are you individuals who are or have been entrusted domestically by a prominent public function? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.	6 RESIDENTIAL OWNERSHIP	Owner Friends/Relatives
Others Please Specify 7 INVESTMENT EXPOSURE YES NO If YES, please state the length of the experience & exposure Less than 1 year 1 to 5 years More than 5 years 8 POLITICALLY EXPOSED PERSONS Are you individuals who are or have been entrusted domestically by a prominent public function? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.		Lease/Rental Parents'
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military officials, senior executives of state-owned corporations, important political party officials.	8 POLITICALLY EXPOSED PERSONS	Are you individuals who are or have been entrusted domestically by a prominent public function?

	Are you individuals who are or have been entrusted with prominent public functions by a <u>foreign</u> country? For example, Heads of State or of government, senior politicians, senior government, judicial		YES
	or military officials, senior executives of state-owned corporations, important political party officials.		NO
	If YES, Please explain the relationship	*	
	Are you individuals who are <u>related to a PEP</u> either directly (consanguinity) or through marriage or		YES
	similar (civil) forms of partnership?		NO
	If YES, Please explain the relationship		
	Are you individuals who are closely connected to a PEP, either socially or professionally?		YES
			NO
	If YES, Please explain the relationship		
Other Connected Business /		1	
rofessional activities & business Interests IF ANY)			
DECLARATION			
hereby declare that the aforemention misleading information provided will be	ed information is true & correct according to my best of knowledge and ability. Any false or e subject to personal liabilities.		
	Date of the Application Signature		
	D D M M Y Y Y Y		
f the KYC Form is forwarded via a dealer o	FOR THE PURPOSE OF PWML r an agent, this section will have to be filled by such dealer or agent		
Name of the second Name of			
Dealer or Agent Name			
Region			
Date of Submission to PWML	D D M M Y Y Y		
Remarks			
			
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